Attorney Docket No.: 960/218

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

: Hiroki MATSUOKA, et al.

Confirmation No. 6446

Appl'n No.

: 10/591,046

Group Art Unit: 3748

Filing Date

: August 29, 2006

Examiner: Tu Minh NGUYEN

For

: EXHAUST PURIFYING

APPARATUS FOR INTERNAL

COMBUSTION ENGINE

**MAIL STOP OIPE/Filing Receipt Corrections** 

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt be corrected to list the omitted fifth inventor, Takayoshi Inaba pursuant to the Declaration and Power of Attorney filed on August 29, 2006 (copy attached) as follows:

-- Takayoshi Inaba, Kariya-shi, Japan --

A marked-up copy of the previously-issued filing receipt showing the requested correction is attached for the Office's convenience.

Please issue a corrected filing receipt as requested above.

Respectfully submitted,

Dated: October 9, 2008

By:

/Daniel G. Shanley/

Daniel G. Shanley (Reg. No. 54,863)

KENYON & KENYON LLP 1500 K Street, N.W., Suite 700 Washington, D.C. 20005 (202) 220 - 4200 (telephone) (202) 220 - 4201 (facsimile) Customer No. 23838

Docket No. 960 /218

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

EXHAUST PURIFYING APPARATUS FOR INTERNAL COMBUSTION ENGINE

the specification of which is attached hereto unless the following is entered:

was filed on	as United States Application Number or PCT International Application Number	and was amended on (if applicable)
March 10, 2005	PCT/JP2005/004737	

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

#### **PRIOR FOREIGN APPLICATION(S)**

I hereby claim foreign priority benefits under 35 USC §119(a-d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application(s) for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application Number	Country	Filing Date (day/month/year)	Priority Not Claimed
Pat. Appln. No. 2004-068993	Japan	11 / 03 / 2004	
	PROVISION	ONAL APPLICATION(S)	
I hereby claim the benefit under 3	5 USC §119(e) o	f any United States provisional appl	ication(s) listed below:
Application Number		Filing Date	

### PRIOR UNITED STATES APPLICATION(S)

I hereby claim the benefit under 35 USC §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Application Number	Filing Date	Status (patented, pending, abandoned)

Docket No.960 PATENT

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (Cont.)

## **POWER OF ATTORNEY**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

All practitioners identified at customer number 23,838

Direct telephone calls to:

Send correspondence to: KENYON & KENYON

JOHN C. ALTMILLER (202) 220-4210 1500 K. Street, N.W. Washington, DC 20005-1257

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

	jeopardize the validity of the applic		CON.	
ull name of first or Last Name		First Name	Middle Name	
sole inventor	MATSUOKA	Hiroki	Country of Citizenship	
Residence	City	State or Country	Country of Citizenship	
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	1, Toyota-cho	Toyota-shi	Aichi-ken 471-8571 Japan	
Signature	Hirop: matsuoka	Date August 8, 2006		
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Signature	Tatruhisa gokov	Date August 8, 2006		
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	KABUSHIKI KAISHA			
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Signature	yasuhiko Otsubo	Date August 8, 2006		
Full name of fourth	Last Name	First Name	Middle Name	
inventor	MATSUNO	Shigehiro		
Residence	City	State or Country	Country of Citizenship	
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	c/o TOYOTA JIDOSHA	-		
	KABUSHIKI KAISHA			
	1, Toyota-cho	Toyota-shi	Aichi-ken 471-8571 Japan	
Signature	Shigehing Matsuna	Date August 8, 2006		

# Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of an evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

第五共同発明者がいる場合、その氏名		Full name of fifth joint inventor, if any
WY T I = 94 50 -K O W F	D.7.4	Takayoshi INABA Fifth inventor's signature Date
第五共同発明者の署名	日付	To loan a d' Tu de succession de sant de sant
住所		Takayoshi Inaba August 10, 2006 Residence
		Kariya-shi, Japan
<b>国籍</b>		Japan
郵便の宛先		Post Office Address
	·	c/o DENSO CORPORATION
		1-1, Showa-cho, Kariya-shi, Aichi-ken 448-8661 Japan
第六共同発明者がいる場合、その氏名		Full name of sixth joint inventor, if any
第六共同発明者の署名	自付	Sixth inventor's signature Date
住所		Residence
<b>国籍</b>		Citizenship
郵便の宛先		Post Office Address
第七共同発明者がいる場合、その氏名		Full name of seventh joint inventor, if any
第七共同発明者の署名	日付	Seventh inventor's signature Date
# CKM # 71 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	H ()	Covering and the Covering Cove
住所		Residence
国籍		Citizenship
郵便の宛先		Post Office Address
第八共同発明者がいる場合、その氏名		Full name of eighth joint inventor, if any
		·
第八共同発明者の署名	日付	Eighth inventor's signature Date
住所		Residence
<b>国籍</b>		Citizenship
郵便の宛先		Post Office Address
	<del> </del>	



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Vrignia 22313-1450 www.uspic.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY,DOCKET,NO	TOT CLAIMS	IND CLAIMS
10/591,046	08/29/2006	3748	900	960/218	17	2

**CONFIRMATION NO. 6446** 

23838 KENYON & KENYON LLP 1500 K STREET N.W. SUITE 700 WASHINGTON, DC20005 FILING RECEIPT

Date Mailed: 05/08/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hiroki Matsuoka, Susono-shi, JAPAN; Tatsuhisa Yokoi, Toyota-shi, JAPAN; Yasuhiko Otsubo, Toyota-shi, JAPAN; Shigehiro Matsuno, Toyota-shi, JAPAN;

Takayoshi Inaba, Kariya -shi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23838

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/04737 03/10/2005

**Foreign Applications** 

JAPAN 2004-068993 03/11/2004

If Required, Foreign Filing License Granted: 05/01/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/591.046** 

Projected Publication Date: 08/09/2007

Non-Publication Request: No

Early Publication Request: No

Title

## **Preliminary Class**

060

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).